

WHO TO TURN TO



Institutional Leaders
Responsible for
Research Oversight and
Support



DESIGNATED RESPONSIBLE PARTY



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Goal

To support UTHHealth's research activities and its researchers by providing a safe, ethical and responsible environment for the conduct of research.

INSTITUTIONAL COMPLIANCE LEADERSHIP



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Chair, Executive Compliance Committee
Professor of Pediatrics, Medical School



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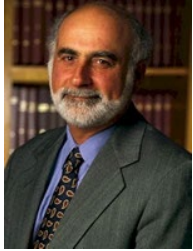


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To support UTHHealth Houston's research activities and its researchers by providing a safe, ethical and responsible environment for the conduct of research.

SPONSORED RESEARCH ADMINISTRATION



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Sponsored Projects Administration (SPA), as part of Finance and Business Services, supports UTHHealth Houston faculty, staff, and students in the acquisition and administration of programs funded from sources outside the University. SPA is the central point of coordination and tracking for sponsored projects and is UTHHealth Houston's authorized representative for grants, contracts, and other agreements from government agencies, private industry, and non-profit foundations.

CONFLICT OF INTEREST AND COMMITMENT

Research Conflict of Interest Committee and Institutional Conflict of Interest Committee



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The University is committed to protect the credibility and reputation of the university, the UT System, and members of the faculty and staff by providing a transparent system of approval, disclosure, and documentation of employee activities outside the university that might otherwise raise concerns about **conflicts of interest** or **conflicts of commitment**.

Research COI: <https://www.uth.edu/evpara/rcoi/index.htm>

COI Disclosures: <https://inside.uth.edu/coi/financial-disclosures.htm>

Prior Approval of Outside Activities: <https://inside.uth.edu/coi/outside-activities.htm>

PROTECTION OF HUMAN SUBJECTS

Committee for The Protection of Human Subjects



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The Committee for the Protection of Human Subjects (CPHS) is the Institutional Review Board (IRB) for UTHealth Houston.

All research involving human subjects conducted by UTHealth Houston faculty, staff and must be reviewed and approved by CPHS.



Rebecca Lunstroth, JD
Chair IRB #1



Deborah Brown, MD
Chair IRB #2



Charles Miller, PhD
Chair IRB #3



Max Buja, MD
Chair Panel #4



Rita Swinford, MD
Vice Chair IRB #1



Francine Snow, PhD
Vice Chair, Panel #2



Cathy Thompson, BSN, MPH
Vice Chair IRB #3



Joy Schmitz, PhD
Vice Chair IRB #4

iRIS Log In Screen: <https://iris.uth.tmc.edu/>
IRB Website: <https://www.uth.edu/cphs>
Clinical Trial Resources: <https://www.uth.edu/ctrc>

CARE AND USE OF ANIMALS IN RESEARCH

Animal Welfare Committee



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The Animal Welfare Committee (AWC) is the **Institutional Animal Care & Use Committee** for UTHealth Houston and provides for the review, approval and monitoring of all animal research carried out by UTHealth Houston faculty, staff and students.

The AWC and the Center for Laboratory Animal Medicine and Care (CLAMC) provide a series of training courses in Laboratory Animal Research.

iRIS Log In Screen: <https://iris.uth.tmc.edu/>
AWC Website: <https://www.uth.edu/animal-research/awc.htm>
CLAMC: <https://www.uth.edu/animal-research/>

STEM CELLS

Stem Cell Research Oversight Committee



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All research involving human embryonic stem cells (hESCs) or human induced pluripotent stem cells (hiPSCs) conducted at the university by its employees and/or involving use of its facilities or resources must be reviewed and approved by the **SCRO Committee** before it is initiated.

SAFETY, HEALTH, ENVIRONMENT, AND RISK MANAGEMENT



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Safety, Health, Environment & Risk Management's (SHERM) mission is to work in conjunction with the UTHealth Houston community to ensure that education, research, and health care service activities take place in conditions that are optimally safe and healthy for all students, faculty, staff, visitors, surrounding community and the general public.

SAFETY COMMITTEES



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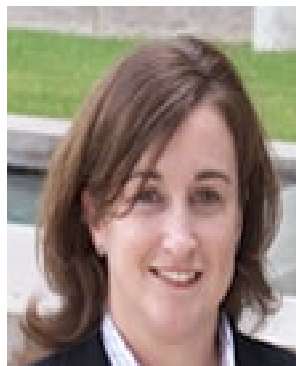


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INTELLECTUAL PROPERTY Technology Management



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The mission of the Office of Technology Management is to provide a resource for faculty, staff and student inventors that will allow inventors an avenue to explore the opportunities for their inventions, coordinate appropriate legal protection for the intellectual property, and undertake the technology transfer activities, while ensuring that new products and methods are made available to the citizens of Texas and generating a royalty income for the University of Texas Health Science Center at Houston.

EXPORT AND ITAR CONTROLS



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A license is required to export regulated items, but there are important exemptions for research and teaching activities at universities. The regulation and licensing requirements depend on what is being exported, where it is going, who will receive the export, and how it will be used. UTHealth Houston and its faculty, staff and trainees must comply with these regulations.

The individuals listed on this page can assist you in answering any questions you may have about how the regulations apply to your research, if a license is required, or how to obtain a license.

RESEARCH INTEGRITY



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The University strives to create a research climate that promotes faithful adherence to high ethical standards in the conduct of research.

[HOOP 202](#) Honesty in Research provides greater detail on these policies and procedures.

Compliance Hotline number
1-888-472-9868

Compliance Web Report
website
www.tnwinc.com/webreport

Compliance: <https://www.uth.edu/compliance/index.htm>

Research: <https://www.uth.edu/research/index.htm>

RESEARCH SUPPORT SOURCES OF INFORMATION

1. Department colleagues, administrators, DMOs and Associate Deans for Management may be good first resources.
2. Each UTHealth Houston school has an Associate Dean for Research (*see slide 4*).
3. University leaders indicated in this brochure may always be contacted for support and information.
4. If you experience difficulty finding information, please feel free to contact the [Office of Research Affairs](#) at research@uth.tmc.edu

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